

147567406  
EXPRESS MAIL NO.

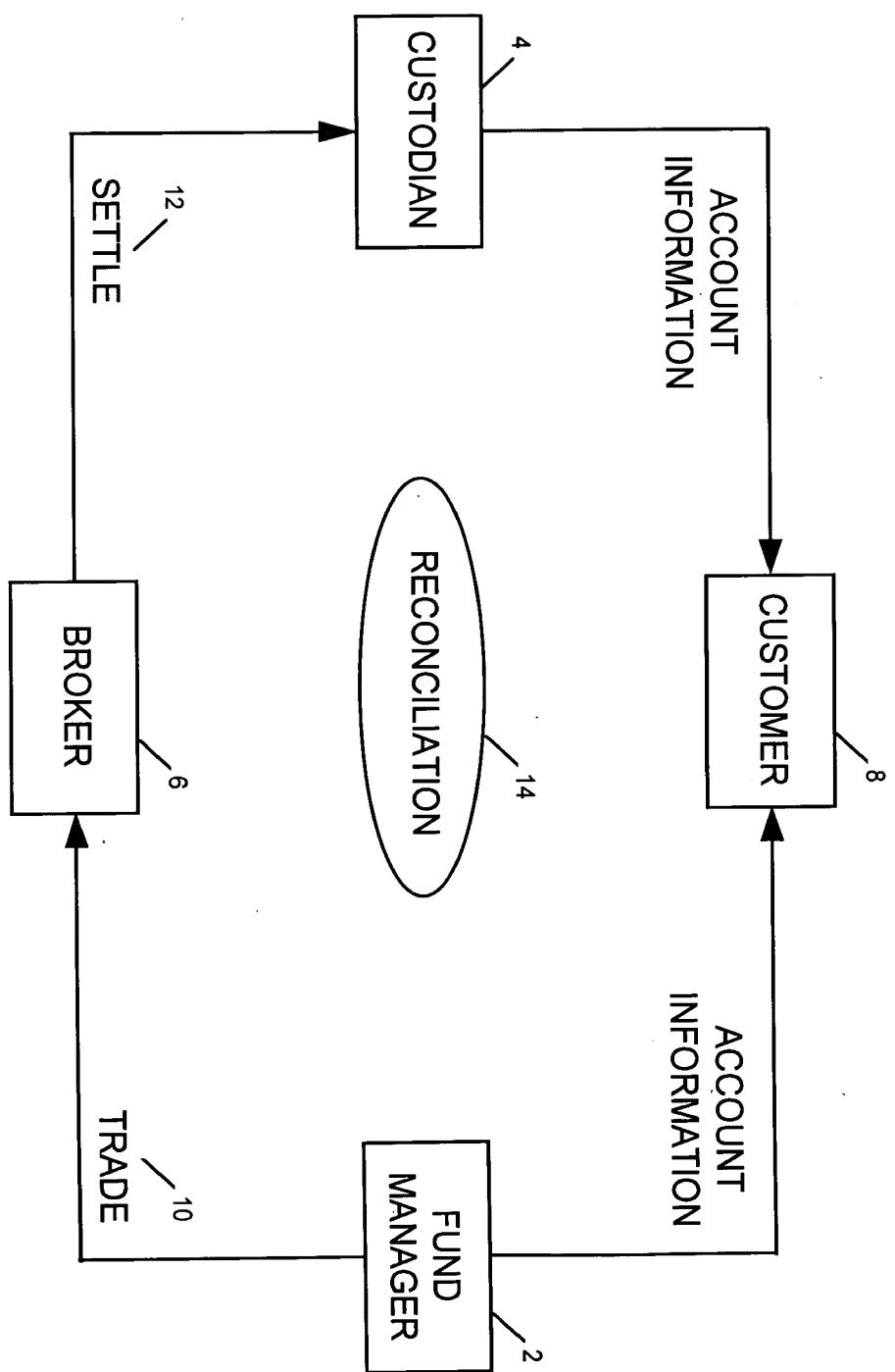


FIG. 1

0 9 5 3 5 8 7 7 - 0 3 2 8 0 0

147567406  
EXPRESS MAIL NO.

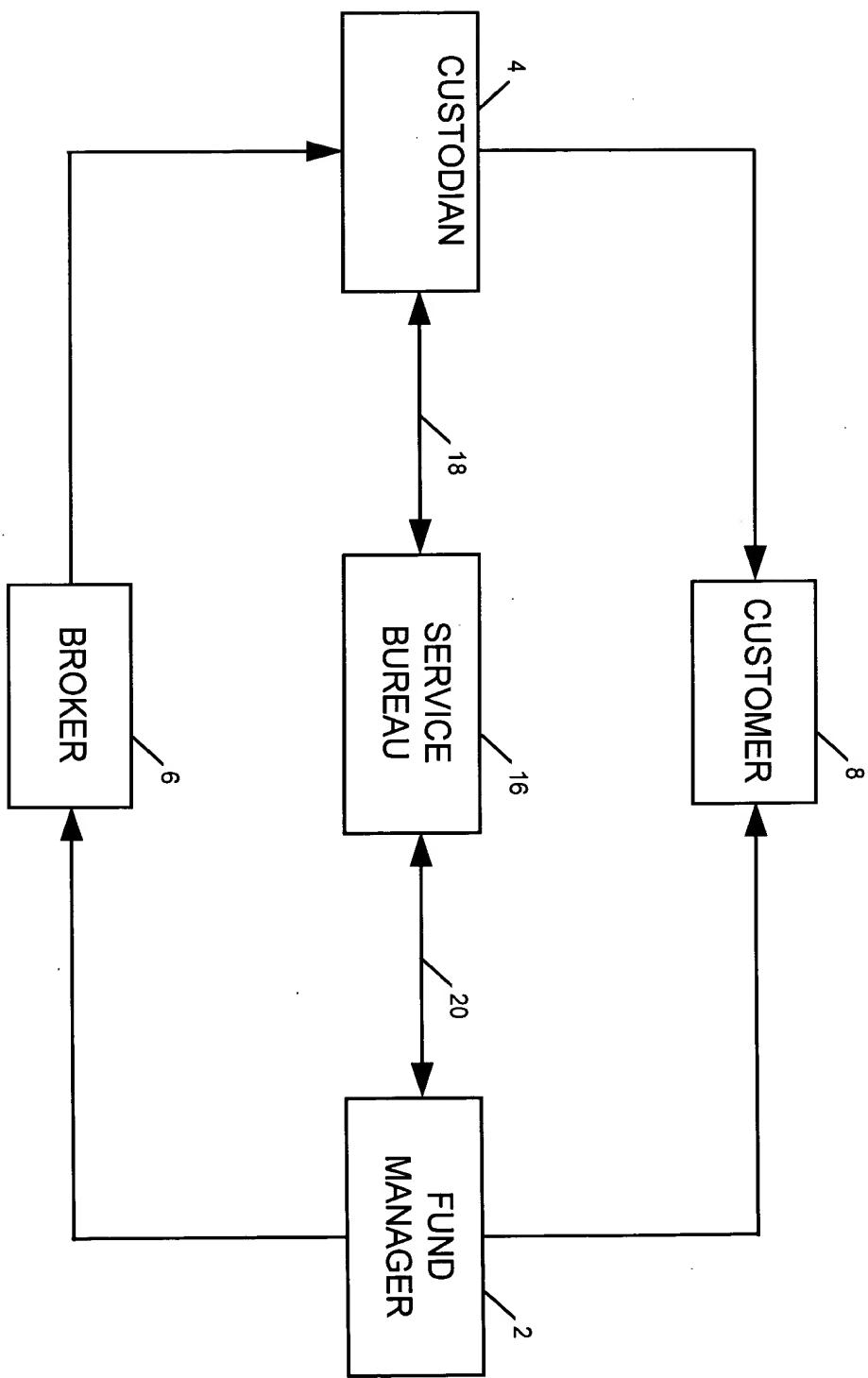


FIG. 2

09535877 032800

147567406

EXPRESS MAIL NO. \_\_\_\_\_

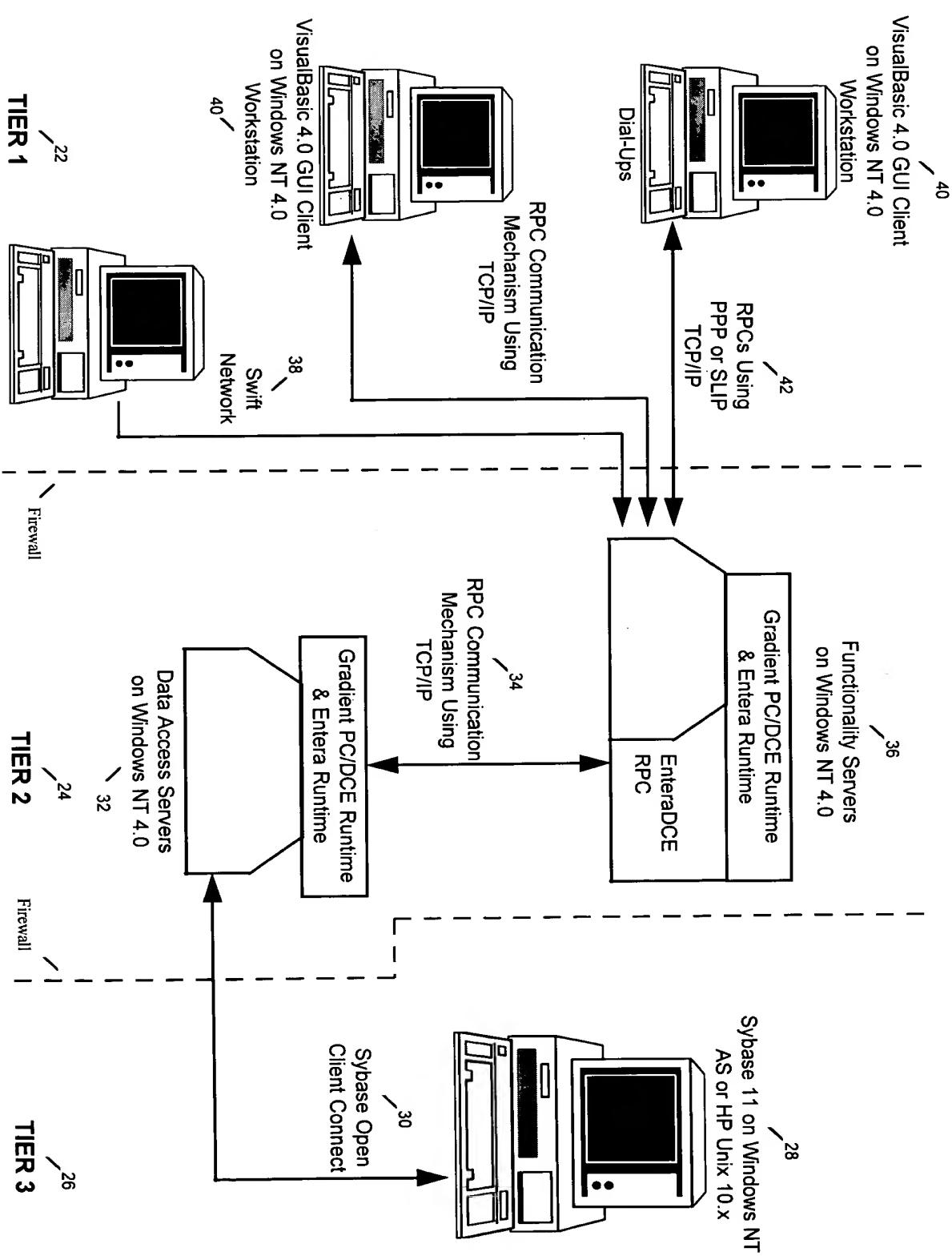


FIG. 3

0 9 5 3 5 8 7 7 0 3 2 2 0 0

147567406  
EXPRESS MAIL NO.

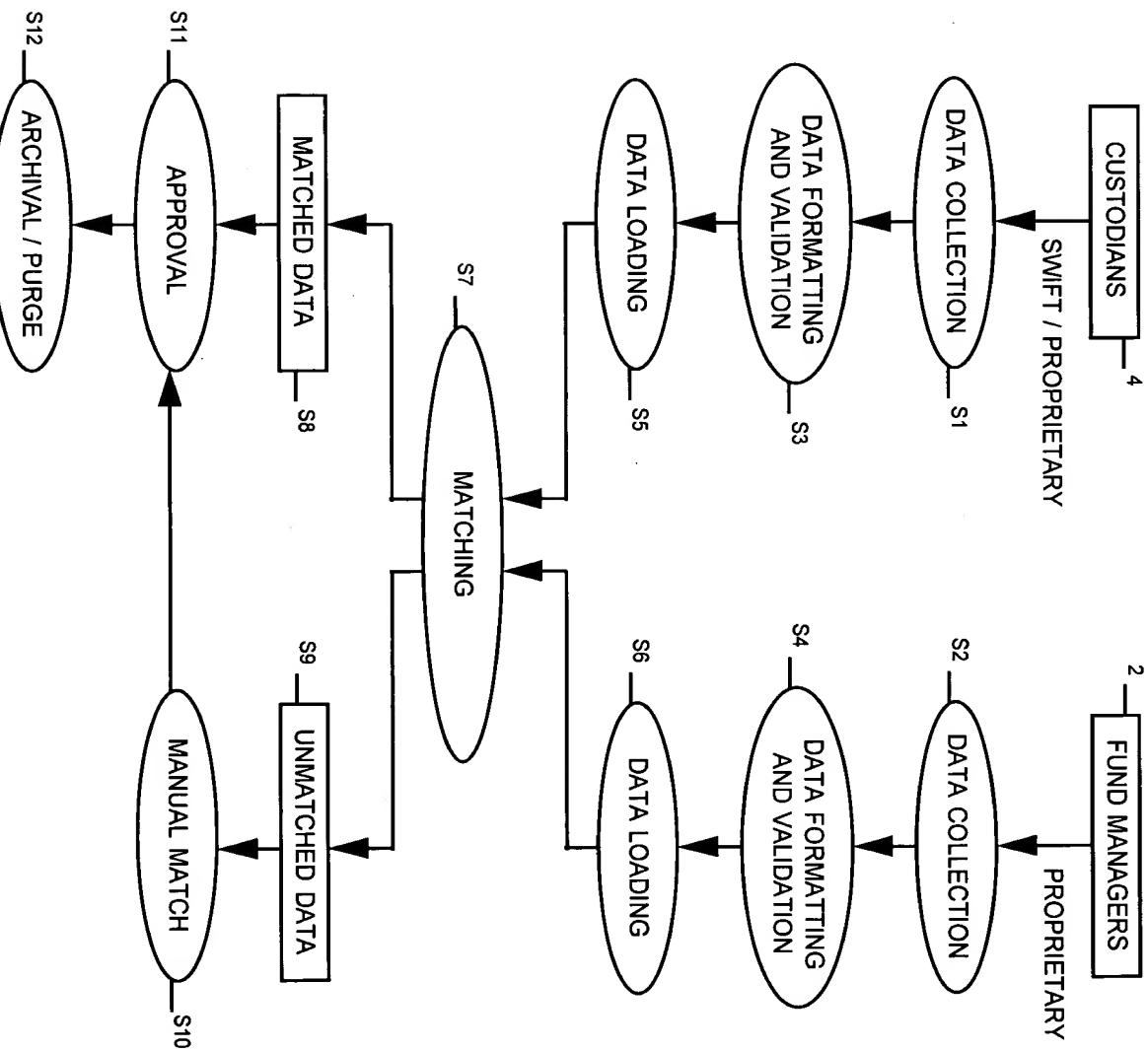


FIG. 4

09535877 032800

147567406  
EXPRESS MAIL NO.

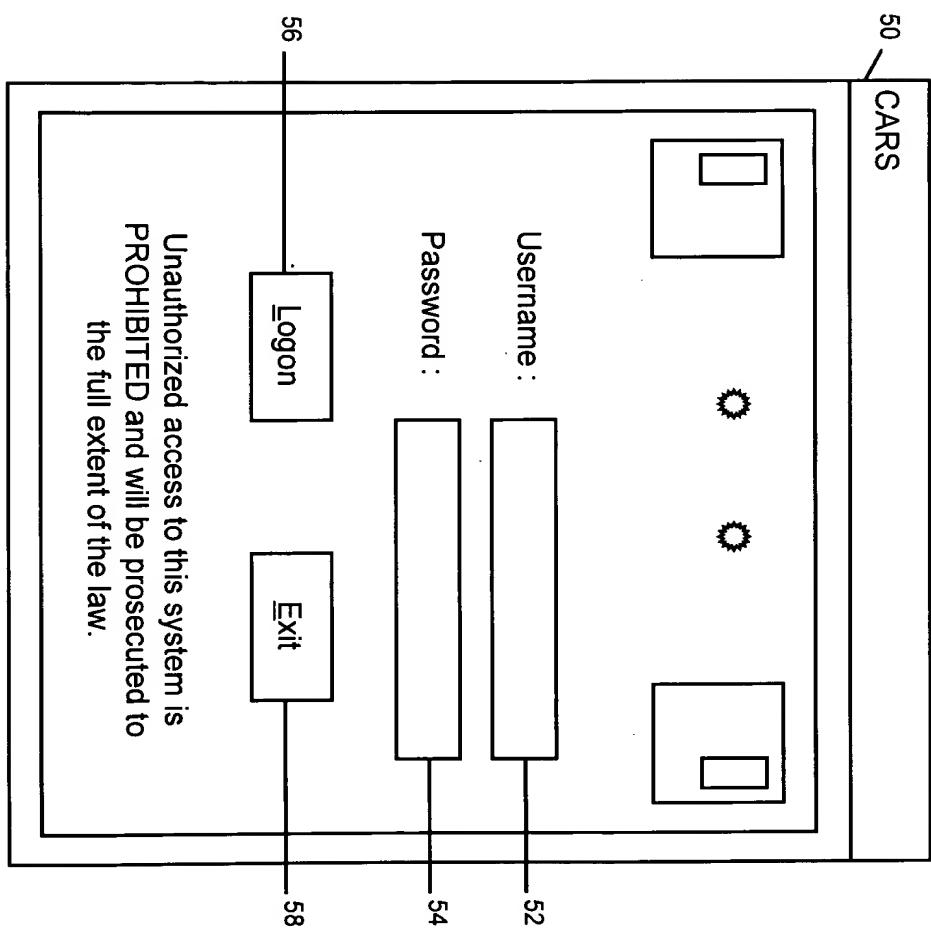


FIG. 5

095356777 032800

147567406  
EXPRESS MAIL NO.

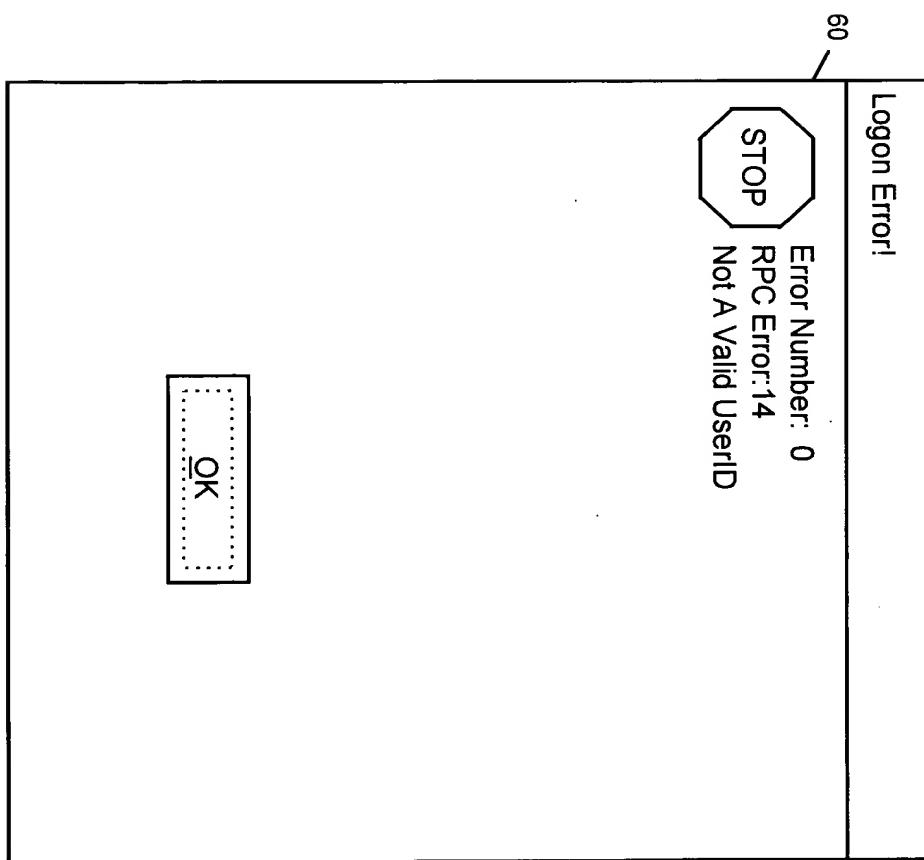


FIG. 6

095356877 032600

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

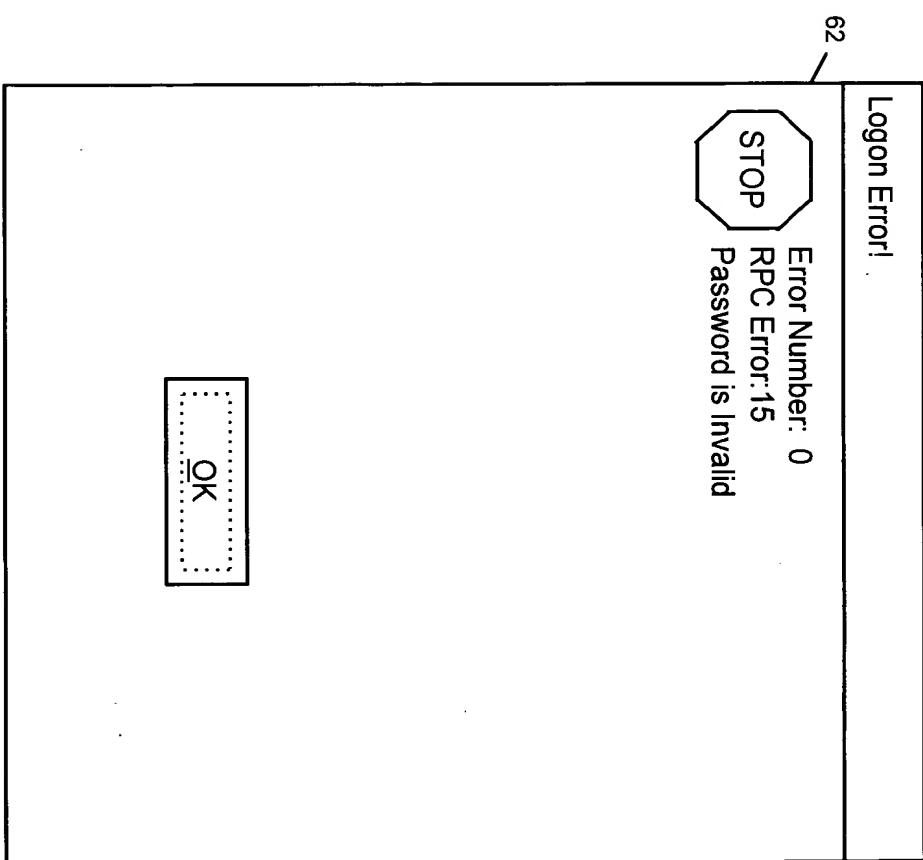


FIG. 7

0 9 5 3 5 8 7 7 0 3 2 8 0 0

147567406

EXPRESS MAIL NO. \_\_\_\_\_

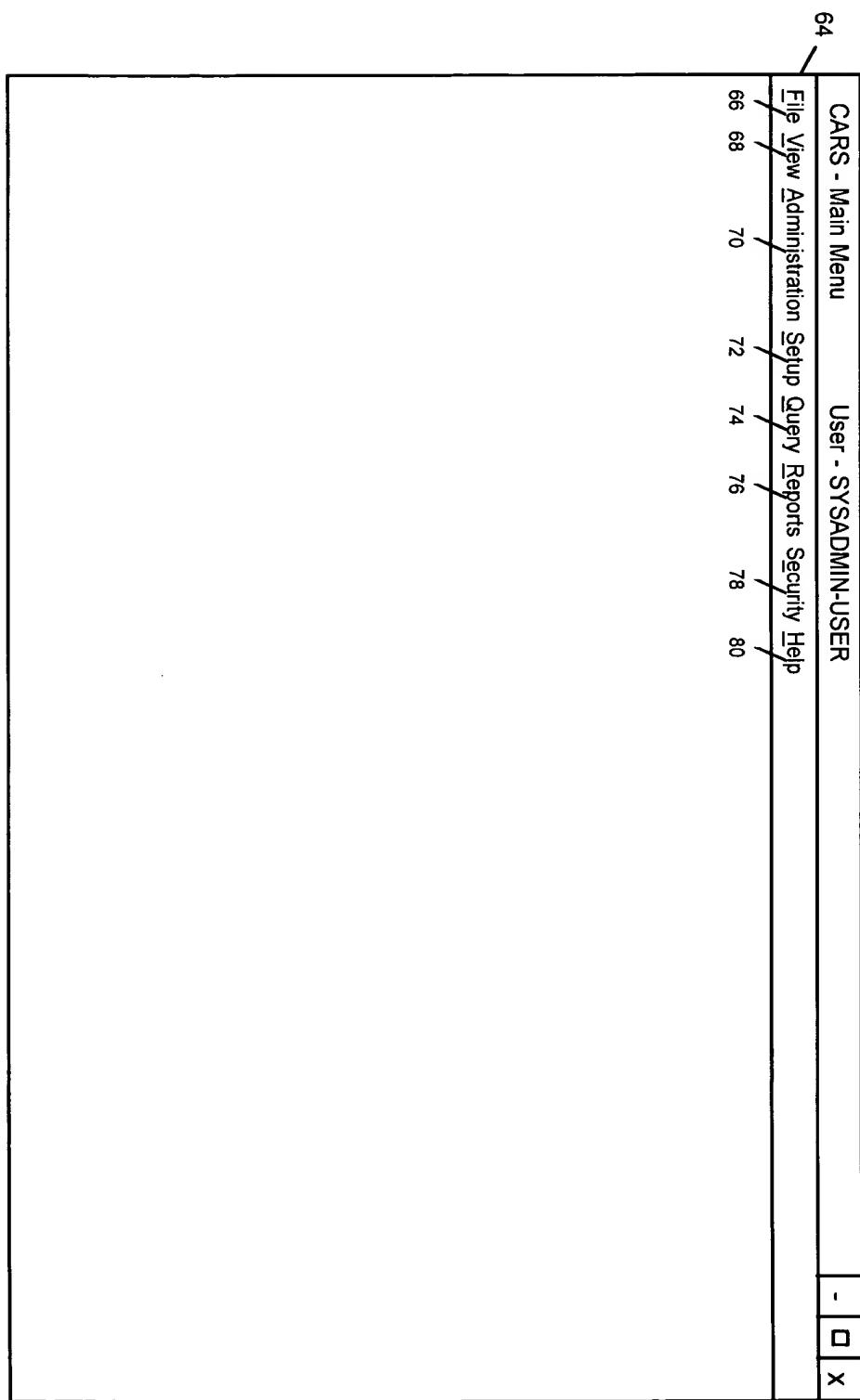


FIG. 8

0 9 5 3 5 3 7 7 0 3 2 3 0 0

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

82	—	Password Change	X
84	—	Present Password	
86	—	New Password	*****
88	—	Confirm Password	*****
90	—	OK	
		Close	
		Help	

FIG. 9

09535677 032800

147567406  
EXPRESS MAIL NO.

92 —

User Group - List All

UserGroupID	UserGroup Name	Group	
CustServ	Customer Service	5	<u>Add...</u> 98
FMGRP1	Fund Manager Group 1	5	<u>Modify...</u> 104
FMGRP2	Fund Manager Group 2	5	<u>Delete...</u> 106
SysAdmin	System Administrator	96	<u>View...</u> 108
SystemAdmin	System Administrator	5	<u>Clear</u> 102
			<u>Close</u> 112

▲

▼

Print 110

Function... 110

Help

FIG. 10

09535877 032800

147567406  
EXPRESS MAIL NO.

94 — User Group - Details (Modify) — 100

User Group ID	FMGRP1	User Group Name	Fund Manager Group 1
Group Level	5	Group Is Fund Manager	<input checked="" type="checkbox"/>
CreatedOn	01-Jan-1999 05:41:33	Created By	SYSADMIN-USER
LastModifiedOn	01-Jan-1999 10:09:13	Last Modified By	SYSADMIN-USER
<p style="text-align: center;"><u>OK</u>   <u>Close</u>   <u>Help</u></p>			

FIG. 11

09535877 032800

147567406

EXPRESS MAIL NO. \_\_\_\_\_

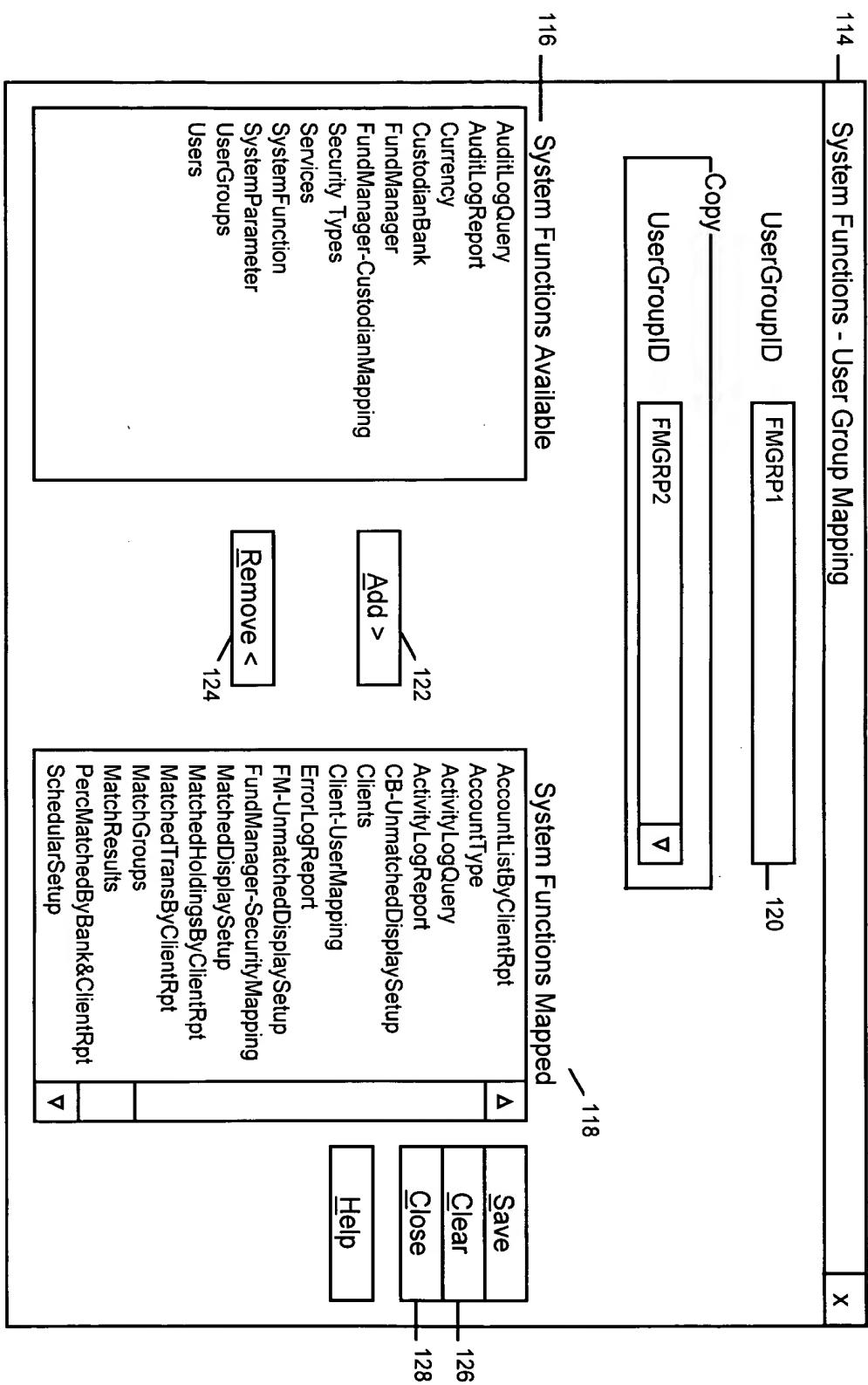


FIG. 12

0 9 5 3 5 8 7 7 0 3 2 8 0 0

147567406  
EXPRES~~SS~~ MAIL NO. \_\_\_\_\_

130

User List-All

Search On

User ID

UserGroupID

Search

134

User ID	UserGroup ID	Fund Manager ID	User N
CustServUser	CustServ		Custom
FMUser1	FMGRP1	JAMCUKLO001	Fund M
FMUser2	FMGRP1	TEMPUSNY001	Fund M
FMUser3	FMGRP2	TEMPUSNY002	Fund M
FMUser4	FMGRP2	MELYUSNY001	Fund M
SYSADMIN	SysAdmin		SYSAD
SystemAdmin	SystemAdmin		Default

<u>Add...</u>	138
<u>Modify...</u>	142
<u>Delete...</u>	144
<u>View...</u>	146
<u>Clear</u>	136
<u>Close</u>	148

Add...

Modify...

Delete...

View...

Clear

Close

138

142

144

146

136

148

Print

148

Help

148

▲

▼

FIG. 13

0 9 5 3 5 6 8 7 7 0 3 2 8 0 0

132

## User - Details (Modify)

User ID

FMUser1

Password

\*\*\*\*\*

User Name

Fund Manager User 1

UserGroupID

FMGRP1

FundManager ID

JAMCUKLO001

User Sees

All Clients



Created On

01-Jan-1999 05:56:51

Created By

SYSADMIN-USER

Last Modified On

09-Jul-1998 06:17:10

Last Modified By

FMUser1

x

OK  
Close  
Help

FIG. 14

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

150 —

System Parameters - List All

X

Sys Param ID	Sys Param Name	Sys Param Value
SchedulerTime	Scheduler Time	10

Add...	154
Modify...	160
Delete...	162
View...	164
Clear	158
Close	166
Print	
Help	

FIG. 15

09535877 032200

47567406  
EXPRESS MAIL NO. \_\_\_\_\_

152

System Parameters - Details (Modify)

System Param ID

System Param Name

System Param Value

CreatedOn

Created By

OK  
Close

Last Modified On

Last Modified By

Help

x

156

FIG. 16

09535877 032800

168

Currency - List All

Search On

Currency ID Search 

x

Currency Name 

176

Currency ID 

180

Currency Name 

184

Country 

186

DEM 

188

Deutsche Mark 

188

GBP 

188

Great Britain Pound 

188

INR 

188

Indian Rupee 

188

JPY 

188

Japanese Yen 

188

KDR 

188

Kuwaiti Dinar 

188

SGD 

188

Singapore Dollar 

188

USD 

188

US Dollar 

188

Add... 

180

Modify... 

184

Delete... 

186

View... 

188

Close 

190

▲

▼

Print 

178

Help 

190

FIG. 17

095358777 032800

EXPRESS MAIL NO. 47567406

170

Currency - Details [Add]

x

Currency ID

Currency Name

Deutsche Mark

Country

Germany

CreatedOn

Created By

OK

Last Modified On

Last Modified By

Close

Help

182

FIG. 18

09535677 032000

147567406  
EXPRESS MAIL NO.

192 — Security Types	
Search On	
Security Type ID	196
Security Type Description	198
198	
Security Type ID	Security Type Description
NonStdSec	Non Standard Security
SECURITY1	EQUITY BASED SECURITIES
Security2	Debt based securities
Security3	Hybrid securities
Is	
Add...	
Modify...	
Delete...	
View...	
Close	
204	
208	
210	
212	
202	
214	
Print	
Help	
▲	
▼	

FIG. 19

095352377 032800

47567406  
EXPRESS MAIL NO. \_\_\_\_\_

194

Security Type - Details [Add]

x

Security Type ID

Is Specific

Specific

Non Specific

Security Type Desc

Non Standard Security

Created On

Created By

Last Modified

Last Modified

206

OK

Close

Help

FIG. 20

0 9 E 3 E E J J J 0 0 3 2 8 0 0

147567406  
EXPRESS MAIL NO.

216 — Services - List All | x

Search On \_\_\_\_\_

Service Type ID \_\_\_\_\_

Service Type Description \_\_\_\_\_

220

Service ID	Service Type Description	Service Type	Service Translation ID
ACCT	Account	F	8
CLIENT	Client	F	9
HLD	Holdings	R	6
SECURITY	SecurityDetails	F	4
TRAN	Transactions	R	7

224  
 228  
 230  
 232  
 222  
 220  
 220  
 220

FIG. 21

0 9 E 3 5 8 7 7 0 3 2 0 0 0

147567406  
EXPRESS MAIL NO.

218

Services - Details [Add]

Service Type ID

Service Type Desc

Account

x

Import/Reconciliation

Import Only  Reconciliation

Service Translation ID

8

CreatedOn

Created By

OK

Close

Last Modified On

Last Modified By

Help

226

FIG. 22

09535877 032800

234

Fund Manager - List All

Search On

Fund Manager ID

Search

242

Parent Fund Manager ID

Fund Manager ID	Fund Manager Name	Parent Fund Manager Name	BIC #
JAMCUKL0001	Robin Cook		JAMCUKLO
MELYUSNY001	K. Singh		MELYUSNY
TEMPUSNY001	Mark Mobius		TEMPUSNY
TEMPUSNY002	James Robert	TEMPUSNY001	TEMPUSNY

Add... 246

Modify... 250

Delete... 252

View... 254

Clear 244

Close 256

Print 238

Services... 238

Map... 240

Help 240

▲	▼
---	---

FIG. 23

236

## Fund Manager - Details [Modify]

 Parent Fund Manager

## Primary Identification

Fund Mgr ID  ▾Parent ID Name BIC # 

## Contact Identification

Name Address 

OK

Close

Help

City State Zip Country Phone Fax E-mail 

## Other Info

Base Currency  ▾Archive After  days

## Scheduler Preference

 Automatic
  Manual
  Scheduled
Last Archived On Last Archived By Created On Created By Last Modified On Last Modified By 

FIG. 24

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

258

Fund Manager-Services-List for TEMPUSNY002

Service Type ID	Import/Reconcile	Service	File Extension	File For	
ACCT	Import Only	Daily	act	Tab Del	<u>Add...</u> 262
CLIENT	Import Only	Daily	cli	Fixed L	<u>Modify...</u> 268
TRAN	Import/Reconcile	Daily	tm	Pipe D	<u>Delete...</u> 270
					<u>View...</u> 272
					<u>Clear</u> 266
					<u>Close</u> 274
					<u>Print</u>
					<u>Help</u>
▲					▼

FIG. 25

260 —

Fund Manager Services-Details [Modify] for TEMPUSNY002

Service Type ID  ▾

Import Specifications

Directory   File Name

File Format  ▾ File Extension

Table Name  ▾ Last Received On

Match Data Option

New Data  Unmatched Data  All Data

File Transfer Mode

Update Changed Fields  Replace Entire Record

Service Level

Daily  Weekly  Monthly  others Number Of Days   Import Only  Import + Reconcile

Archival Specifications

Directory   File Name

Created On  Created By   
Last Modified On  Last Modified By

264

FIG. 26

147567406

EXPRESS MAIL NO.

276

## Fund Manager Mapping - List All TEMPUSNY002

X

Service Type	ID	Output Field	Import Field	Field Order	Field Is	Field	△	
ACCT		AccountName	AccountName	4	Y	0		<a href="#">Add...</a> 280
ACCT		AccountTypeID	AccountTypeID	3	Y	0		<a href="#">Modify...</a> 294
ACCT		BaseCurrency	BaseCurrency	5	Y	0		<a href="#">Delete...</a> 296
ACCT		BIC	BIC	6	Y	0		<a href="#">View...</a> 298
ACCT		ClientID	ClientID	1	Y	0		<a href="#">Close</a> 292
ACCT		ClientSafeKeep	ClientSafeKeep	7	Y	0		<a href="#">Clear</a> 296
ACCT		PrimaryAccount	PrimaryAccount	2	Y	0		<a href="#">Print</a> 300
ACCT		ClientID	ClientID	1	Y	0		<a href="#">Help</a>
ACCT		ClientName	ClientName	2	Y	9		
ACCT		CustodianID	CustodianID	3	Y	22		
ACCT		BaseCurrency	BaseCurrency	10	Y	0		
ACCT		BuySellFlag	BuySellFlag	17	Y	0		
ACCT		ClientAccountN	ClientAccountN	8	Y	0		
ACCT		ClientID	ClientID	1	Y	0	▼	
	▲				▼			

FIG. 27

0 9 5 3 5 8 7 7 0 3 2 8 0 0

278 — Fund Manager Mapping - Details [Modify] TEMPUSNY002

Service Type ID	TRAN	▼
Mapping Details		
288 CARS FieldName	ClientID	▼
Translation Details		
Import FieldName	ClientId	▼
Field Description	ClientId	▼
Permit Match-Rule Definition ?	<input checked="" type="checkbox"/>	286 Secondary Field Name
Import Field Details		
Field Mandatory ?	<input checked="" type="checkbox"/>	Permit Replace ? <input type="checkbox"/>
Order	1	Start Position 0
Default Value 0 Length 0		
Created On	01-Jan-1999 12:19:06	
Created By	SYSADMIN-USER	
Last Modified On	01-Jan-1999 13:27:28	
Last Modified By	Fund Manager User 3	

282 — TableName

284 Primary Field Name

290 OK Close Help

290

FIG. 28

147567406

EXPRESS MAIL NO. \_\_\_\_\_

302

Custodians - List All

X

Search On

Custodian ID

\_\_\_\_\_

Search

310

Custodian Name

\_\_\_\_\_

Custodian ID	Custodian Name	Custodian BIC
CTIUK111	Citibank London	CTIUK111
CITIUS111	Citibank New York	CITIUS111
CTIUS222	Citibank Chicago	CTIUS222

Add...

314

Modify...

318

Delete...

320

View...

322

Close

330

Clear

312

Print

324

Contacts...

328

Services...

328

Map...

326

Help

320

FIG. 29

095358277 0322000

147567406  
EXPRESS MAIL NO.

304

Custodian - Details [Modify]

X

Custodian ID

CITIUK111

Custodian Name

Citibank London

BIC

CITIUK111

Parent Custodian

CITICORP GROUP

Base Currency

GBP ▽

CreatedOn

01-Jan-1999 07:10:15

Created By

Customer Service User 1

Last Modified On

01-Jan-1999 07:10:15

Last Modified By

Customer Service User 1

OK  
Close

Help

316

FIG. 30

147567406  
EXPRESS MAIL NO.

332

Contacts for CITIUS111 - List All

X

Contact Person	First Name	Phone Number	
Angella	Angella	212-547-9000	<a href="#">Add...</a>
Jamie	Jamie	212-547-9000	<a href="#">Modify...</a>

- [Delete...](#) 344
- [View...](#) 346
- [Clear](#)
- [Close](#) 348
- [Print](#)
- [Help](#)

FIG. 31

0 9 8 3 6 6 7 7 7 0 3 2 8 0 0

147567406

EXPRESS MAIL NO. \_\_\_\_\_

334

## Contact - Details [Modify]

x

Contact Person

Angella

Salutation

Ms.

First Name

Angella

Last Name

Christie

Address

E43rd Street

Phone Number

212-547-9000

New York, USA

Fax Number

212-547-9000

Job Title

ACCMgr

Email ID

angella@clity.com

Created On

Created By

SYSADMIN-USER

Last Modified On

Last Modified By

SYSADMIN-USER

338

OK

Close

Help

FIG. 32

09535877 032300

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

350

Custodian Services - List All CITIUS111

X

Service Type ID	File Extension	Import File Format	Im	Add...	354
HLD	hld	Comma Delimited	:ca	Modify...	360
TRAN	trn	Tab Delimited	:ca	Delete...	362

<u>View...</u>	364
<u>Clear</u>	358
<u>Close</u>	366
<u>Print</u>	
<u>Help</u>	

▲

▼

FIG. 33

0 9 6 3 5 6 7 7 7 0 3 2 8 0 0

147567406

EXPRESS MAIL NO. \_\_\_\_\_

352

## Custodian Services - Details [Modify]

X

Service Type ID  

## Import Specifications

Directory  File Name File Format  File Extension Table Name  File Maint Mode  File Recd On Rec Start Postn 

## Archival Specifications

Directory  File Name Created On Created By Last Modified On Last Modified By 356   

FIG. 34

09535877 032300

EXPRESS MAIL NO. 147567406

368

Custodian Map List - All

Custodian ID

CITIUS111

Service Type	ID	Output Field Name	Import Field Name	▲	Add...	372
HLD		BookValueLocalCurrency	BookValueLocalCu		Modify...	378
HLD		ClientID	Client ID		Delete...	380
HLD		CustodianBIC	CustodianBIC		View...	382
HLD		Depository	Depository		Clear	376
HLD		ExchangeRate	Exchange rate		Close	
HLD		FundMgrID	FundMgrID			
HLD		LocalCurrency	LocalCurrency			
HLD		LongShortIndicator	LongShort			
HLD		MktValBaseCurrency	MktValbase			
HLD		MktValLocalCurrency	MktValLoc			
HLD		OriginalFaceValue	OriginalFaceValue			
HLD		OriginalSecurityID	Original SecID			
				▼		
				▼		
					Print	
					Help	

FIG. 35

09535877 03200

370

## Custodian Mapping - Details [Modify]

Service Type ID  ▾

## Mapping Details

OutputFieldName  ▾Translation Required ? OK

374

ImportFieldName Table Name  ▾CloseFieldDescription Primary Field Name  ▾HelpPermit Match-Rule Definition ? Secondary Field Name  ▾

## Import Field Details

Field Mandatory ? Permit Replace ? Default Value Order Start Position Length Created On Created By Last Modified On Last Modified By 

FIG. 36

147567406  
EXPRESS MAIL NO.

CARS - CrossMar Automated Reconciliation Services

File Edit View Administration Setup Query Reports Window Help

386 Scheduler

388 Funding ID

392 Action

414 Daily

416 Weekly

418 Monthly

Recurrence Pattern

Every  day(s)

420

Action Profile

390 Status

422 X

424 Enabled

426 Disabled

Range of Recurrence

Start Date  ▾

394 Created By

○ No End Date

○ End By  ▾

Last Modified On

Last Modified By

Now

Save

Clear

Close

Help

428

430

FIG. 37

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

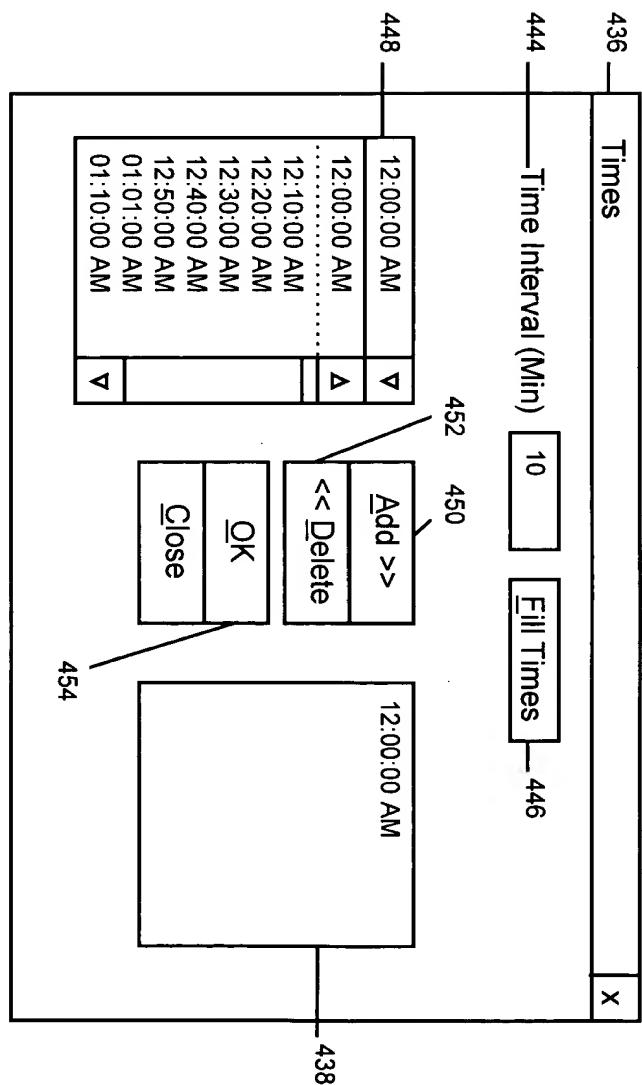


FIG. 38

005358777 032800

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

Client - List					
456	X				
460	Search On				
462	<table border="1"> <tr> <td>Client ID</td> <td><input type="text"/></td> </tr> <tr> <td>Client Name</td> <td><input type="text"/></td> </tr> </table>	Client ID	<input type="text"/>	Client Name	<input type="text"/>
Client ID	<input type="text"/>				
Client Name	<input type="text"/>				
464	<input type="button" value="Search"/>				
468	<input type="button" value="Add..."/>				
474	<input type="button" value="Modify..."/>				
476	<input type="button" value="Delete..."/>				
478	<input type="button" value="View..."/>				
480	<input type="button" value="Close"/>				
482	<input type="button" value="Clear"/>				
486	<input type="button" value="A/c Profile..."/>				
488	<input type="button" value="Print"/>				
490	<input type="button" value="Help"/>				
492	<input type="button" value=""/>				
494	<input type="button" value=""/>				
496	<input type="button" value=""/>				
498	<input type="button" value=""/>				
500	<input type="button" value=""/>				
502	<input type="button" value=""/>				
504	<input type="button" value=""/>				
506	<input type="button" value=""/>				
508	<input type="button" value=""/>				
510	<input type="button" value=""/>				
512	<input type="button" value=""/>				
514	<input type="button" value=""/>				
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580	<input type="button" value=""/>				
582	<input type="button" value=""/>				
584	<input type="button" value=""/>				
586	<input type="button" value=""/>				
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672	<input type="button" value=""/>				
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680	<input type="button" value=""/>				
682	<input type="button" value=""/>				
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690	<input type="button" value=""/>				
692	<input type="button" value=""/>				
694	<input type="button" value=""/>				
696	<input type="button" value=""/>				
698	<input type="button" value=""/>				
700	<input type="button" value=""/>				
702	<input type="button" value=""/>				
704	<input type="button" value=""/>				
706	<input type="button" value=""/>				
708	<input type="button" value=""/>				
710	<input type="button" value=""/>				
712	<input type="button" value=""/>				
714	<input type="button" value=""/>				
716	<input type="button" value=""/>				
718	<input type="button" value=""/>				
720	<input type="button" value=""/>				
722	<input type="button" value=""/>				
724	<input type="button" value=""/>				
726	<input type="button" value=""/>				
728	<input type="button" value=""/>				
730	<input type="button" value=""/>				
732	<input type="button" value=""/>				
734	<input type="button" value=""/>				
736	<input type="button" value=""/>				
738	<input type="button" value=""/>				
740	<input type="button" value=""/>				
742	<input type="button" value=""/>				
744	<input type="button" value=""/>				
746	<input type="button" value=""/>				
748	<input type="button" value=""/>				
750	<input type="button" value=""/>				
752	<input type="button" value=""/>				
754	<input type="button" value=""/>				
756	<input type="button" value=""/>				
758	<input type="button" value=""/>				
760	<input type="button" value=""/>				
762	<input type="button" value=""/>				
764	<input type="button" value=""/>				
766	<input type="button" value=""/>				
768	<input type="button" value=""/>				
770	<input type="button" value=""/>				
772	<input type="button" value=""/>				
774	<input type="button" value=""/>				
776	<input type="button" value=""/>				
778	<input type="button" value=""/>				
780	<input type="button" value=""/>				
782	<input type="button" value=""/>				
784	<input type="button" value=""/>				
786	<input type="button" value=""/>				
788	<input type="button" value=""/>				
790	<input type="button" value=""/>				
792	<input type="button" value=""/>				
794	<input type="button" value=""/>				
796	<input type="button" value=""/>				
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810	<input type="button" value=""/>				
812	<input type="button" value=""/>				
814	<input type="button" value=""/>				
816	<input type="button" value=""/>				
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820	<input type="button" value=""/>				
822	<input type="button" value=""/>				
824	<input type="button" value=""/>				
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834	<input type="button" value=""/>				
836	<input type="button" value=""/>				
838	<input type="button" value=""/>				
840	<input type="button" value=""/>				
842	<input type="button" value=""/>				
844	<input type="button" value=""/>				
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848	<input type="button" value=""/>				
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852	<input type="button" value=""/>				
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860	<input type="button" value=""/>				
862	<input type="button" value=""/>				
864	<input type="button" value=""/>				
866	<input type="button" value=""/>				
868	<input type="button" value=""/>				
870	<input type="button" value=""/>				
872	<input type="button" value=""/>				
874	<input type="button" value=""/>				
876	<input type="button" value=""/>				
878	<input type="button" value=""/>				
880	<input type="button" value=""/>				
882	<input type="button" value=""/>				
884	<input type="button" value=""/>				
886	<input type="button" value=""/>				
888	<input type="button" value=""/>				
890	<input type="button" value=""/>				
892	<input type="button" value=""/>				
894	<input type="button" value=""/>				
896	<input type="button" value=""/>				
898	<input type="button" value=""/>				
900	<input type="button" value=""/>				
902	<input type="button" value=""/>				
904	<input type="button" value=""/>				
906	<input type="button" value=""/>				
908	<input type="button" value=""/>				
910	<input type="button" value=""/>				
912	<input type="button" value=""/>				
914	<input type="button" value=""/>				
916	<input type="button" value=""/>				
918	<input type="button" value=""/>				
920	<input type="button" value=""/>				
922	<input type="button" value=""/>				
924	<input type="button" value=""/>				
926	<input type="button" value=""/>				
928	<input type="button" value=""/>				
930	<input type="button" value=""/>				
932	<input type="button" value=""/>				
934	<input type="button" value=""/>				
936	<input type="button" value=""/>				
938	<input type="button" value=""/>				
940	<input type="button" value=""/>				
942	<input type="button" value=""/>				
944	<input type="button" value=""/>				
946	<input type="button" value=""/>				
948	<input type="button" value=""/>				
950	<input type="button" value=""/>				
952	<input type="button" value=""/>				
954	<input type="button" value=""/>				
956	<input type="button" value=""/>				
958	<input type="button" value=""/>				
960	<input type="button" value=""/>				
962	<input type="button" value=""/>				
964	<input type="button" value=""/>				
966	<input type="button" value=""/>				
968	<input type="button" value=""/>				
970	<input type="button" value=""/>				
972	<input type="button" value=""/>				
974	<input type="button" value=""/>				
976	<input type="button" value=""/>				
978	<input type="button" value=""/>				
980	<input type="button" value=""/>				
982	<input type="button" value=""/>				
984	<input type="button" value=""/>				
986	<input type="button" value=""/>				
988	<input type="button" value=""/>				
990	<input type="button" value=""/>				
992	<input type="button" value=""/>				
994	<input type="button" value=""/>				
996	<input type="button" value=""/>				
998	<input type="button" value=""/>				
999	<input type="button" value=""/>				

卷之三

FIG. 39

EXPRE~~S~~ MAIL NO. 147567406

458 — Client - Details [Modify]

Client ID	COCACOLA	Custodian ID	CITIUS11
Client Name	Coca Cola		472
CreatedOn	01-Jan-1999 10:57:49	Created By	Fund Manager User2
Last Modified On	01-Jan-1999 10:57:49	Last Modified By	Fund Manager User2
<input type="button" value="OK"/> <input type="button" value="Close"/> <input type="button" value="Help"/>			

470

147567406  
EXPRESS MAIL NO.

484 — Account X

Client ID COCACOLA

Search On

Primary Account ID

Search 488

PrimaryAccountID	AccountTypeID	AccountName	BaseCurrency
COKEACC1	TYPE1	COKE_ACC1	USD
COKEACC2	TYPE1	COKE_ACC2	DEM

Add... 492

Modify... 496

Delete... 498

View... 500

Clear 490

Close 502

Print

Help

▲

▼

FIG. 41

09535877 032800

EXPRESS MAIL NO. 147567406

486

Account Details [Modify]

x

Client ID COCACOLA ▽

Identification Information

Primary Account ID COKEACC1

Account Type ID TYPE1 ▽

Account Name COKE\_ACC1

Base Currency USD ▽

SafeKeeping Account Details

BIC

ClientSafeKeepingA/C COKESafeAccount1

Import File Information

Import File Name

Imported On

Import Rec Seq No 0

Date Last Received On

Created On 01-Jan-1999 11:10:16

Created By Fund Manager User2

Last Modified On 01-Jan-1999 11:10:16

Last Modified By Fund Manager User2

494  OK

Close

Help

FIG. 42

09535377 032800

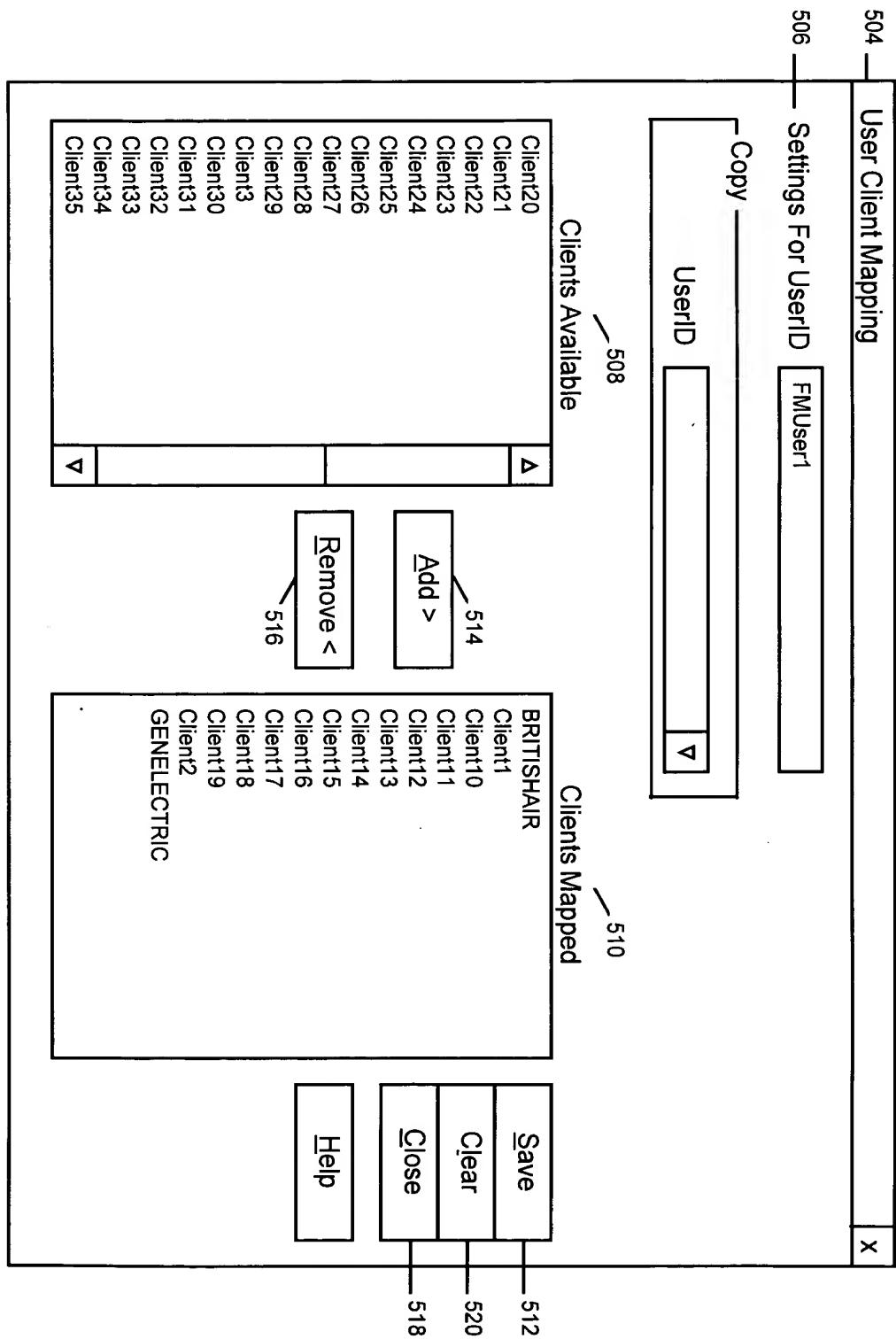


FIG. 43

0 9 5 3 5 8 7 7 0 3 2 8 0 0

7567406  
EXPRESS MAIL NO.

522	Account Type - List All	x
525	Search On	
	Account Type ID	526
	Account Type ID	Search
	Account Type Desc	
TYPE1	TYPE 1 Account for ST	
	Add...	530
	Modify...	534
	Delete...	536
	View...	538
	Clear	528
	Close	540
	Print	
	Help	

FIG. 44

09535877 032800

147567406  
EXPRESS MAIL NO.

524

Account Type - Details [Modify]

X

Account Type ID  Account Type Desc

532

CreatedOn

Created By

OK

Last Modified On

Last Modified By

Close

Help

FIG. 45

09535277 03200

147567406

EXPRESS MAIL NO. \_\_\_\_\_

Match Group List		X																																													
542	Search On																																														
546	Match Group ID																																														
548	Match Group Name																																														
<table border="1"> <thead> <tr> <th>Service Type</th> <th>ID</th> <th>MatchGroupID</th> <th>MatchGroupName</th> <th></th> </tr> </thead> <tbody> <tr> <td>TRAN</td> <td></td> <td>MG01</td> <td>Match Group 1</td> <td>Add...</td> </tr> <tr> <td>TRAN</td> <td></td> <td>MG02</td> <td>Match Group 2</td> <td>Modify...</td> </tr> <tr> <td>TRAN</td> <td></td> <td>MG03</td> <td>Match Group 3</td> <td>Delete...</td> </tr> <tr> <td>TRAN</td> <td></td> <td>MG04</td> <td>Match Group 1</td> <td>View...</td> </tr> <tr> <td>HLD</td> <td></td> <td>MG01</td> <td>Match Group 1</td> <td>554</td> </tr> <tr> <td>HLD</td> <td></td> <td>MG02</td> <td>Match Group 2</td> <td>558</td> </tr> <tr> <td>HLD</td> <td></td> <td>MG03</td> <td>Match Group 3</td> <td>560</td> </tr> <tr> <td>HLD</td> <td></td> <td>MG04</td> <td>Match Group 4</td> <td>562</td> </tr> </tbody> </table>			Service Type	ID	MatchGroupID	MatchGroupName		TRAN		MG01	Match Group 1	Add...	TRAN		MG02	Match Group 2	Modify...	TRAN		MG03	Match Group 3	Delete...	TRAN		MG04	Match Group 1	View...	HLD		MG01	Match Group 1	554	HLD		MG02	Match Group 2	558	HLD		MG03	Match Group 3	560	HLD		MG04	Match Group 4	562
Service Type	ID	MatchGroupID	MatchGroupName																																												
TRAN		MG01	Match Group 1	Add...																																											
TRAN		MG02	Match Group 2	Modify...																																											
TRAN		MG03	Match Group 3	Delete...																																											
TRAN		MG04	Match Group 1	View...																																											
HLD		MG01	Match Group 1	554																																											
HLD		MG02	Match Group 2	558																																											
HLD		MG03	Match Group 3	560																																											
HLD		MG04	Match Group 4	562																																											
<table border="1"> <tr> <td>▲</td> <td>▼</td> <td>Search</td> <td>550</td> </tr> <tr> <td>Elements...</td> <td>Print</td> <td>Close</td> <td>552</td> </tr> <tr> <td>Levels...</td> <td></td> <td>Clear</td> <td>558</td> </tr> <tr> <td>Help</td> <td></td> <td>564</td> <td>566</td> </tr> </table>			▲	▼	Search	550	Elements...	Print	Close	552	Levels...		Clear	558	Help		564	566																													
▲	▼	Search	550																																												
Elements...	Print	Close	552																																												
Levels...		Clear	558																																												
Help		564	566																																												

FIG. 46

09535377 022800

147567406  
EXPRESS MAIL NO.

544

Match Group - Details [Modify]

Service Type ID

HLD

▼

Match Group Name

Match Group 1

▼

Color Code

Match Mode  
 Automatic  
 Manual

Comment

Match Group 1

Created On

Created By

Last Modified On

Last Modified By

556

X

OK  
Close  
Help

FIG. 47

147567406

EXPRESS MAIL NO. \_\_\_\_\_

570

## Match Group Element List

Service Type ID Match GroupID 

X

Field to	Field to	Match Order	Match Rule	Nominal Tol.	Percent
ClientID	ClientID	1	A	0	0
PrimarySecuri	PrimarySecuri	2	A	0	0
ValueDate	ValueDate	3	A	0	0
Quantity	Quantity	4	A	0	0

- Add... 574
- Modify... 580
- Delete... 582
- View... 584
- Close 586
- Print
- Help

▲

▼

FIG. 48

147567406

EXPRESS MAIL NO. \_\_\_\_\_

572

## Match Group Element - Details [Modify]

576 X

Service Type ID

HLD

Match Group ID

MG01

OK

Field to Match(FM)

ClientID

Field to Match(CB)

ClientID

Close

Aggregate Field (FM) ?

Aggregate Field (CB) ?

Start Char. for  
Match(FM)

0

Start Char. for  
Match(CB)

0

Order Of Match

1

Help

Match Rule

 Absolute Mapped

Tolerance

 Nominal

Special Rule Table Required

Table Name for  
Special Match

Created On

01-Jan-1999 14:16:52

Created By

Fund Manager User 2

Last Modified On

01-Jan-1999 14:16:52

Last Modified By

Fund Manager User 2

FIG. 49

147567406

EXPRESS MAIL NO. \_\_\_\_\_

588

## Match Group Level List

X

Service Type ID

HLD

Match Group ID

MG01

Custodian ID	Match Grp Level	Security Type ID	Match Grp Leve	
CITIUS111	1	SECURITY1	LEVEL 1	

Add... 592  
Modify... 598  
Delete... 600  
View... 602  
Clear 596  
Close 604

Print  
Help

▲

▼

FIG. 50

0 9 5 3 6 7 7 7 0 3 2 0 0 0

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

590

Match Group Level - Details [Modify]

x

594

Service Type ID

Custodian ID

OK

Close

Match Group ID

Security Type ID

OK

Match Group  
Level No.



Color Code

OK

Close

Help

Match Group  
Level Desc.

Created On

Created By

Last Modified On

Last Modified By

FIG. 51

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

604

## Fund Manager Security Mapping - List All

- Search On

Custodian ID

FM Primary Security ID

Custodian ID	FM Primary Sec ID	CB Primary Sec ID
--------------	-------------------	-------------------

KELLOGS 2002 B

CITI/S111 PEPSI EQ US

.....

A  
11

Custodian ID	FM Primary Sec ID	CB Primary Sec ID
CITIUS111	KELLOGS 2002 BON	KELLOGS 2002
CITIUS111	KELLOGS EQ US	KELLOGS 2002
CITIUS111	PEPSI EQ US	PEPSI EQ US

Modify 614

Delete

View 618

Clear

Class 630

111

17

Help

1

FIG. 52

卷之三

147567406  
EXPRESS MAIL NO.

606 —

Fund Manager Security Mapping - Details [Modify]

Custodian ID

CITIUS111

Custodian Name

Citibank New York

FM Primary Sec ID

KELLOGS 2002 BON

CB Primary Sec ID

KELLOGS 2002 BON

CreatedOn 01-Jan-1999 14:53:57

Created By Fund Manager User 2

Last Modified On 01-Jan-1999 14:53:57

Last Modified By Fund Manager User 2

610

OK

Close

Help

FIG. 53

09535377 032300

147567406  
EXPRESS MAIL NO. \_\_\_\_\_

622 — Fund Manager UnMatched Result Display Setup  X

624 — Service Type ID  ▽

626 — Available Fields

BaseCurrency	ClientID
LocalCurrency	PrimarySecurityID
MarketValueBaseCurrency	BuySellFlag
MarketValueLocalCurrency	Quantity
OriginalFaceValue	Price
TransactionType	ExchangeRate

628 — Selected Fields

SettlementDate	PrimaryAccountID
ShortSellFlag	StatementDate
TradeDate	ClientAccountNo
TransactionReferenceNo	

630 —

632 —

634 —



FIG. 54

147567406

EXPRESS MAIL NO.

636

## Matched Summary Display Database : Current

Date Range

Period From 01-Jul-1998

Period To 01-Jul-2098

Fund Manager ID JAMCUK10001

Service Type HLD

638

640

Query

Client ID	Value Date	Total Records [F]	% Matched	Total Records [C]	% Matched
▼ BRITISHAIR	31-Dec-1999	3	66.66	3	66.66
▼ GENELECTRIC	31-Dec-1999	3	66.66	3	66.66
*					

▲

▼

Delete	Lock	Unlock	Match	Unmatch	Approve	Unapprove	Archive	Unarchive	Print	Help	Close
--------	------	--------	-------	---------	---------	-----------	---------	-----------	-------	------	-------

642 644 646 648 650 652 654 656 658 660

FIG. 55

0 0 5 3 5 8 7 7 0 3 2 0 0

147567406  
EXPRESS MAIL NO. 

662

Matched Holdings List for Fund Manager : JAMCUKLO001, Client ID : BRITISHAIR, Value Date : 31-Dec-1999

Subscriber	MatchedReference	FundMgtrID	PrimaryAccountID	SecurityTypeID	MKVAllocated
▼ F	200101290732051	JAMCUKLO001	BAACC1		1.500000
C	200101290732051	JAMCUKLO001	BASafeAccount1	SECURITY1	1.500000
F	200101290732053	JAMCUKLO001	BAACC1		25.000000
C	200101290732052	JAMCUKLO001	BASafeAccount1	SECURITY1	25.000000
*					

▼

Comments | Unmatch | View Details | Print | Help | Close

666 / 668 / 670 / 672 / 674 / 676 /

FIG. 56

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147567406  
EXPRESS MAIL NO.

664

Subscriber	MatchedReference	StatementDate	TransactionReference	TradeDate	LocalCurr
▼ F	200101290733071	Jan 1 2000 12:00AM	1	Dec 31 1999 12:00AM	GBP
C	200101290733071	Jan 1 2000 12:00AM	BAUK1001a	Dec 31 1999 12:00AM	GBP
C	200101290733071	Jan 1 2000 12:00AM	BAUK1001b	Dec 31 1999 12:00AM	GBP
F	200101290733082	Jan 1 2000 12:00AM	3	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003a	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003b	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003c	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003d	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003e	Dec 31 1999 12:00AM	USD
*					

△

▽

Comments   Unmatch   View Details   Print   Help   Close

666 / 668 / 670 / 672 / 674 / 676

FIG. 57

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147567406

EXPRESS MAIL NO. \_\_\_\_\_

678

Unmatched Transactions List for Fund Manager: JAMCUKLO001, Client ID : BRITISHAIR, Statement Date : 01-Jan-20... X

Manager

ClientID	PrimarySecurityID	BuySellFlag	Quantity	Price	ExchangerR
► BRITISHAIR	SHELL2002BONDSUK	S	1000.000000	25.000000	1.000000

▲

▼

Bank

BankReferenceNo	BuySellIndicator	ClientID	FundMgrID	PrimarySecurityID	Quantity
► BAUK1002	S	BRITISHAIR	JAMCUKLO001	SHELL2002BONDSUK	900.000000

▲

▼

<u>Match</u>	<u>CB Comments</u>	<u>EM Comments</u>	<u>View Details</u>	<u>Print</u>	<u>Help</u>	<u>Close</u>
--------------	--------------------	--------------------	---------------------	--------------	-------------	--------------

682

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692

694

FIG. 58

0 9 5 3 5 8 7 3 0 0 0

147567406

EXPRESS MAIL NO.

680

Unmatched Holdings List for Fund Manager : JAMCUKLO001, Client ID : BRITISHAIR, Value Date : 31-Dec-1999

Manager

ClientID	PrimarySecurityID	Quantity	Price	LocalCurrency	PrimaryA
► BRITISHAIR	SHELL2002BONDSUK	500.00000	10.00000	USD	BAACC2

►

►

Bank

ClientID	CustodianBIC	Depository	LongShortIndicator	OriginalSecurityID	Price
► BRITISHAIR	CITIUK111	CITIUK	S	OriginalSHELL2002	10.00000

►

►

<u>Match</u>	<u>CB Comments</u>	<u>EM Comments</u>	<u>View Details</u>	<u>Print</u>	<u>Help</u>	<u>Close</u>
--------------	--------------------	--------------------	---------------------	--------------	-------------	--------------

682

684

686

688

690

692

694

FIG. 59

09535877 032800

147567406  
EXPRESS MAIL NO.

View Audit Log List			
X			
696			
698	<input type="checkbox"/> Filter By		
700	<input type="radio"/> <u>UserName</u>		
706	<input type="radio"/> <u>TableName</u>		
708	<input checked="" type="radio"/> <u>None</u>		
Audit Details			
Table Name	Key Code	Event	Time S
...	...	...	...
<input type="button" value="△"/>	<input type="button" value="▽"/>	<input type="button" value="▽"/>	<input type="button" value="▽"/>
<input type="button" value="View"/> 712			
<input type="button" value="Filter Option"/>			
<input type="button" value="702 – UserName"/>			
<input type="button" value="TableName"/>			
<input type="button" value="Key Code"/>			
<input type="button" value="Help"/>			
<input type="button" value="Close"/> 714			
<input type="button" value="Print"/>			
<input type="button" value="Clear"/> 710			

FIG. 60

00535877 032800

47567406  
EXPRESS MAIL NO.

Activity Log		718	722	720	724	X
Period From	01-Jul-1998	▼	Period To	01-Jul-2098	▼	<u>View</u>
726	Fund Manager IDs		JAMCUKLO001	▼	Activity Types	728
			All	▼	<u>Close</u>	734
					<u>Print</u>	732
					<u>Help</u>	
Activity Date	Activity Type ID	User Name	Activity Start End	Activity Description	△	
01-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approval of Position		
01-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approve of Position		
01-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approve of Transaction		
01-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approve of Transaction		
01-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approve of Transaction		
01-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approve of Transaction		
01-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approval of Position		
01-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approval of Position		
31-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approval of Position		
31-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approval of Position		
31-Jan-2000	Approve-Manual	FMUser1	S E	Manual Approve of Transaction		
31-Jan-2000	Approve-Manual	Fund Manager User	S E	Manual Approve of Transaction		
01-Feb-2000	Approve-Manual	FMUser1	S E	Manual Approve of Transaction		
01-Feb-2000	Approve-Manual	Fund Manager User	S E	Manual Approve of Transaction		
01-Feb-2000	Approve-Manual	FMUser1	S E	Manual Approve of Transaction		
△					▼	

FIG. 61

0 9 5 3 5 8 7 7 9 0 3 2 8 0 0

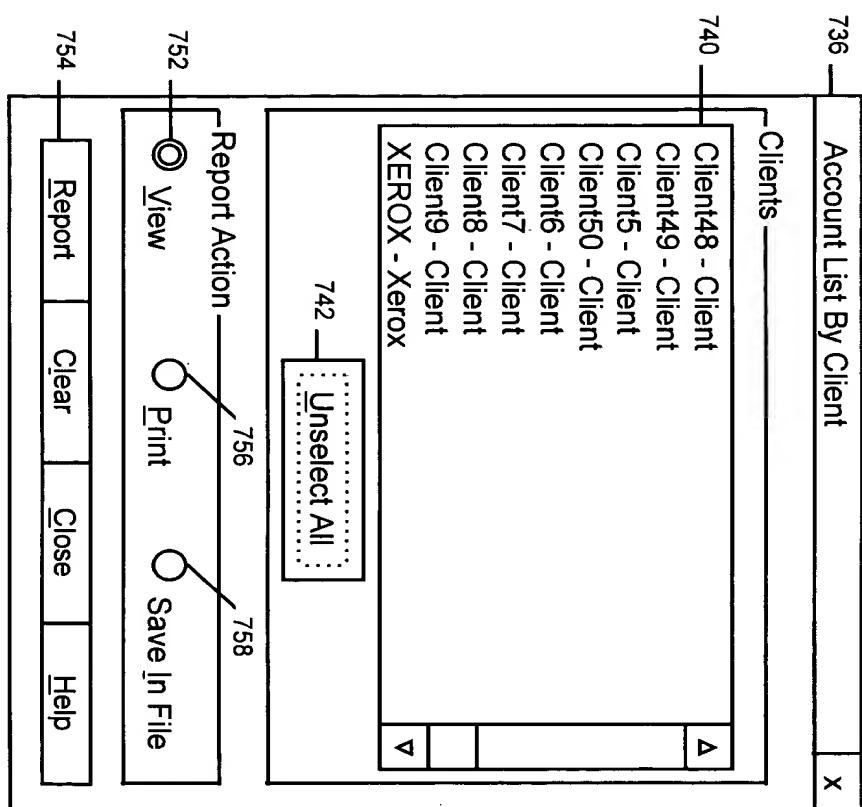


FIG. 62



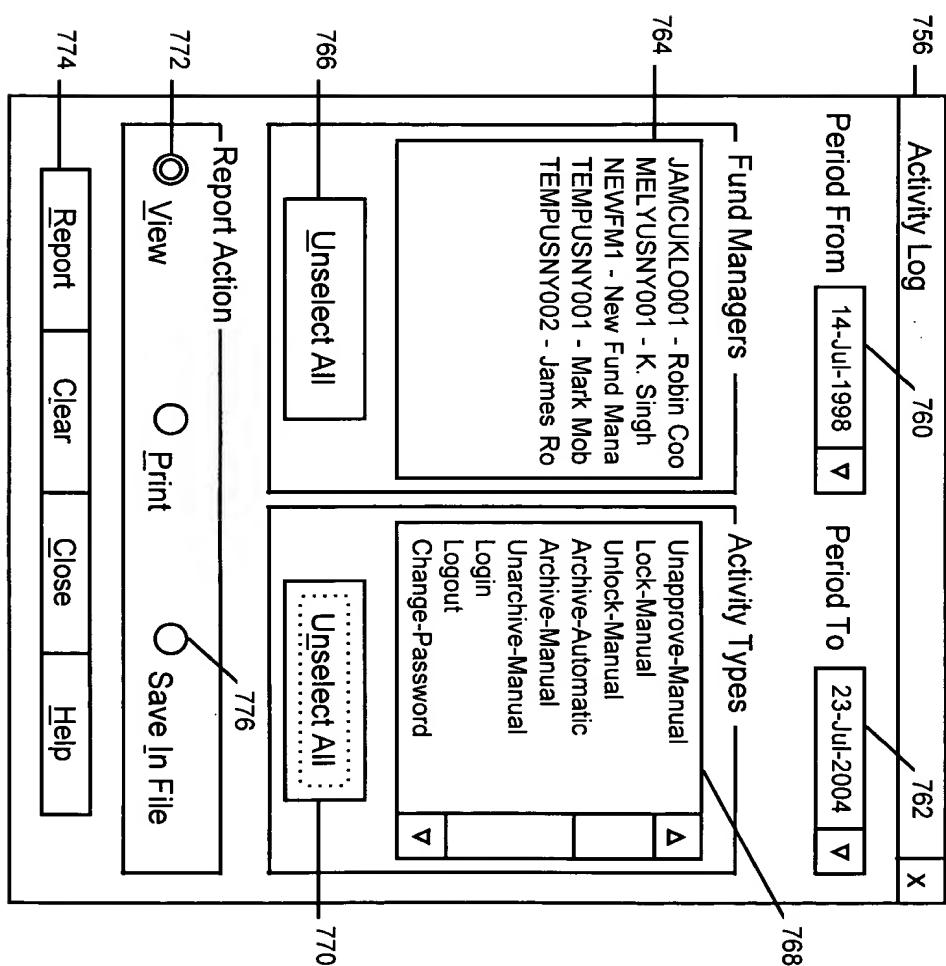


FIG. 64



EXPRESS MAIL NO. 147567406

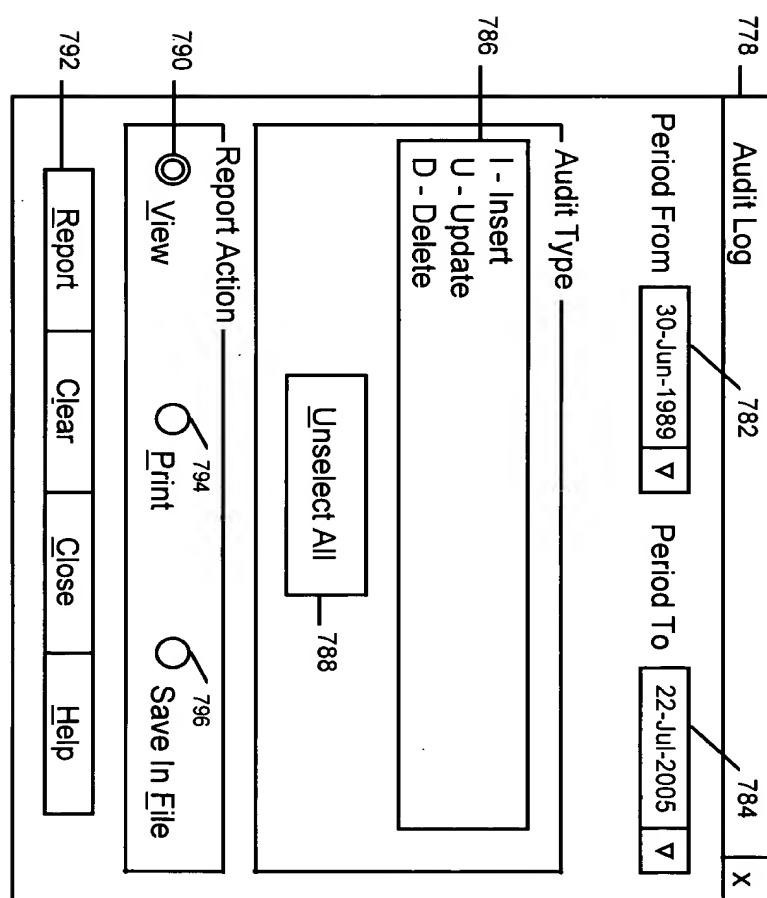


FIG. 66

147567406  
EXPRESS MAIL NO.

780

## Audit Log Report

☒☒ 1 of 1+ ☒☒☒☒☒☒ Total 1708 100%

Date 14/Jul/1998 02:23:05PM

Period From 30/Jun/1989

Period To 22/Jul/2005

## Audit Log Report

## User Customer

## Audit Types All Audit Types

卷之三

30/Jun/1998 12:41:19 |TYPE| |Acct16DEM|

Fund Manager User 4 Jun 30 1998 12:41PM ||

30/Jun/1998 12:43:01

30/JUN/1998 12:43:37

30/Jun/1998 12:51:33

111

FIG. 67

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147567406  
EXPRESS MAIL NO.

798	Error Log Report	802	804	X
Period From <input type="text" value="14-Jul-1998"/> <input type="button" value="▼"/>		Period To <input type="text" value="29-Jan-2001"/> <input type="button" value="▼"/>		
806	Fund Manager IDs			
JAMCUKLO001 - Robin Cook <input type="button" value="▼"/>				
Report Action				
<input checked="" type="radio"/> <u>View</u> <input type="radio"/> <u>Print</u> <input type="radio"/> <u>Save In File</u>				
808	<input type="button" value="Report"/>	<input type="button" value="Clear"/>	<input type="button" value="Close"/>	<input type="button" value="Help"/>

FIG. 68



147567406

EXPRESS MAIL NO. \_\_\_\_\_

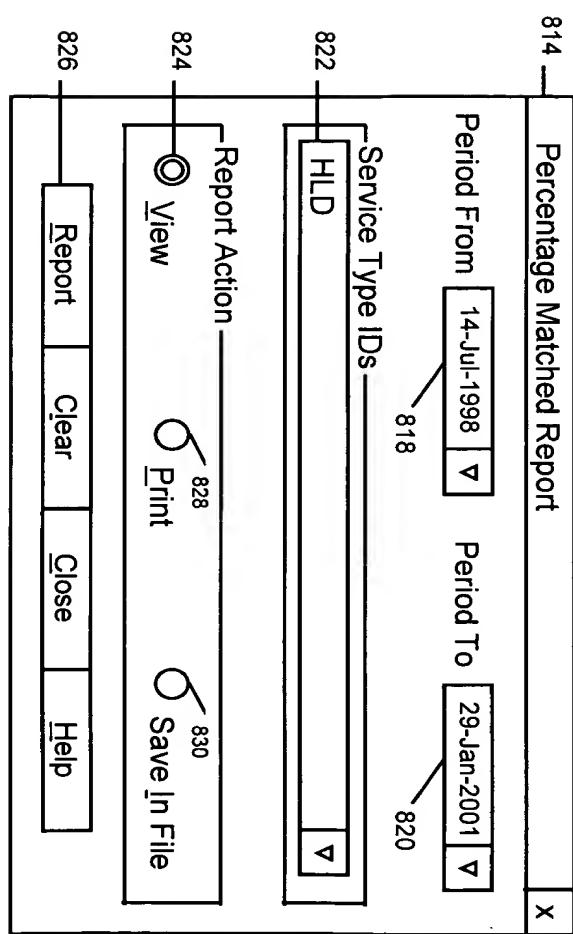


FIG. 70

0 9 5 3 5 8 7 7 0 3 2 8 0 0

147567406  
EXPRESS MAIL NO.

816

## Percentage Matched By Custodian and Client Report

10

→

1

Total 1  
100%

## Percentage Matched By Custodian & Client

8

Period From 14Jul1998 Date 14Jul1998 03:30:41PM

TOM DOWD

Period To 29/Jan/2001

Custodian ID  
**CITIUS111**

Client ID

FM Records

% Matched

COCACOLA 3 100.00  
Average 100.00

*End of Report*

FIG. 71

092500

147567406  
EXPRESS MAIL NO.

832	Matched Holdings By Client		x
Period From	31-Dec-1999	Period To	29-Jan-2001
840	Client ID		836
Client48 - Client		833	▼
Client49 - Client		836	▲
Client5 - Client		833	▼
Client50 - Client		836	▲
Client6 - Client		833	▼
Client7 - Client		836	▲
Client8 - Client		833	▼
Client9 - Client		836	▲
COCACOLA - Coca Cola Inc.		833	▼
Unselect All		842	▼
844	Report Action	<input checked="" type="radio"/> View	<input checked="" type="radio"/> Print
846	Report	Clear	Close
			Help

FIG. 72

0 9 5 3 5 3 7 7 0 3 2 8 0 0



147567406  
EXPRESS MAIL NO.

852 — Matched Transactions By Client

Period From  ▽ Period To  ▽

856 — 858

Clients

Client IDs

Client48 - Client	▲
Client49 - Client	
Client5 - Client	
Client50 - Client	
Client6 - Client	
Client7 - Client	
Client8 - Client	
Client9 - Client	
XEROX - Xerox	

Unselect All — 860

Report Action —

View <sup>864</sup>  Print <sup>866</sup>  Save In File

862 —

Report	Clear	Close	Help
--------	-------	-------	------

FIG. 74

0005358777 0328000

147567406  
EXPRESS MAIL NO.

854

Matched Transactions By Client Report

1 of 1+      Total 6 100%

Date 14/Jul/1998 03:40:23PM

Period From 14/Jul/1998

Period To 29/Jan/2002

Bank records in grey background

Matched Transactions By Client

Client ID All Client IDs

ClientID

Statement Date	TransactionRef	Account No.	Security ID	Price	Quantity
01/Jan/2000	1	XEROXACCI	MICROSOFT EQ US	12.000000	1,000
01/Jan/2000	2	XEROXACCI	COKE US	7.000000	2,000
01/Jan/2000	3	XEROXACC2	SUN EQ UK	12.000000	500
01/Jan/2000	XEROXI1001	XEROXSafeAccount1	MICROSOFT EQ US	0.000000	1,000
01/Jan/2000	XEROXI1002	XEROXSafeAccount1	COKE US	0.000000	2,000
01/Jan/2000	XEROXI1003	XEROXSafeAccount2	SUN EQ UK	0.000000	500

*End of Report*

▲	
▼	
	▼

FIG. 75

0 9 E 3 5 8 7 7 0 3 2 8 0 0

EXPRESS MAIL NO  
147567406

868 —

Unmatched Holdings By Client

Period From  ▽ Period To  ▽

872

874

— Client IDs —

Client IDs

Client48 - Client  
Client49 - Client  
Client5 - Client  
Client50 - Client  
Client6 - Client  
Client7 - Client  
Client8 - Client  
Client9 - Client  
COCACOLA - Coca Cola Inc.

▲

▼

Unselect All

876

— Record Source —

Fund Manager  Custodian Bank  Both

878

— Report Action —

View  Print <sup>882</sup>  Save In File <sup>884</sup>

880

Report  Clear  Close  Help

FIG. 76

0 0 5 3 5 8 7 7 0 3 2 2 0 0

147567406  
EXPRESS MAIL NO.

870

Unmatched Holdings By Client Report

1 of 1+

Total 6 100%

△

Date 14/Jul/1998 03:37:56PM

Unmatched Holdings By Client

Us

Period From 14/Jul/1998

Client ID All Client IDs

Period To 29/Jan/2001

Option Both [Bank records in grey background]

ClientID

Value Date

Account No

Security ID

Price

Quantity

COCACOLA	31/Dec/1999	COKEACCI	KELLOGS EQ US	1.5000	500.0000
	31/Dec/1999	COKEACCI	PEPSIEQ US	25.0000	1,000.0000
	31/Dec/1999	COKEACCI	KELLOGS 2002 BON	10.0000	500.0000
	31/Dec/1999	COKESafeAccount1	KELLOGS EQ US	1.5000	500.0000
	31/Dec/1999	COKESafeAccount1	PEPSIEQ US	25.0000	1,000.0000
	31/Dec/1999	COKESafeAccount2	KELLOGS 2002 BON	10.0000	500.0000

End of Report

△

▽

0 0 5 5 8 7 7 0 0 3 2 3 0 0

FIG. 77

47567406  
EXPRESS MAIL NO.

886 —

Unmatched Transactions By Client

Period From  ▽ Period To  ▽

900  
902

— Clients —

Client48 - Client  
Client49 - Client  
Client5 - Client  
Client50 - Client  
Client6 - Client  
Client7 - Client  
Client8 - Client  
Client9 - Client  
XEROX - Xerox

▲  ▽

904

— Record Source —

Fund Manager  Custodian Bank  Both

906 — Report Action —

View  Print  Save In File

910 — Report Clear Close Help

FIG. 78

0 0 0 3 5 8 2 2 0 0 0 0

147567406  
EXPRESS MAIL NO.

888

Unmatched Transactions By Client Report

1 of 1+      Total 6 100%

Total 6 100%

Date 14/Jul/1998 03:42:15PM

Period From 14/Jul/1998

Period To 29/Jan/2002

Option Both [Bank records in grey background]

Unmatched Transactions By Client

Client ID All Client IDs

<u>ClientID</u>	<u>Statement Date</u>	<u>TransactionRef</u>	<u>Account No</u>	<u>Security ID</u>	<u>Price</u>
XEROX	01/Jan/2000	1	XEROXACC1	MICROSOFT EQ US	12.0000
	01/Jan/2000	2	XEROXACC1	COKE US	7.0000
	01/Jan/2000	3	XEROXACC2	SUN EQUK	12.0000
	01/Jan/2000	XEROX1001	XEROXSafeAccount1	MICROSOFT EQ US	0.0000
	01/Jan/2000	XEROX1002	XEROXSafeAccount1	COKE US	0.0000
	01/Jan/2000	XEROX1003	XEROXSafeAccount2	SUN EQUK	0.0000

*End of Report*

FIG. 79

© 9 5 3 3 4 8 3 3 0 3 2 8 0 0

147567406

EXPRESS MAIL NO.

## CARS - CrossMar Automated Reconciliation Services

File Edit View Administration Setup Query Reports Window Help

?

## Form Level Actions - User Group Mapping

X

UserGroupID  
General

CARSForms  
Match Results

Matched Holdings/Transactions Display

Unmatched Holdings/Transactions Display

Account Type

Clients

Match Groups

Fund Manager - Security Mapping

Fund Manager User Groups

X

916  
Select All  
Unselect All

Action On Forms  
Allow(?)

Archive

Unarchive

Print

Close

? Help

Close

Users

Archive

? Help

Unarchive

Print

? Help

Close

Alan Schneider NSTITUTIONALAD 03/21/2000 3:08PM CAPS NUM

03535877 032800

FIG. 79a

147567406  
EXPRESS MAIL NO.

CARS - CrossMar Automated Reconciliation Services

File Edit View Administration Setup Query Reports Window Help

Profile Setup

Basic Information Export Details

918

Fund Manager ID INSTITUTIONALADV ▾

Profile Type MATCH ▾

Custodian Banks CITIBANK

922

Get Custodians  Select All

926

Date Range

From 03/21/2000 ▾ To 03/21/2000 ▾

Last  Days  All

924

Service POS ▾

Profile Name

Clients

928

Match Status

Matched  UnMatched  All

Now

Alan Schneider INSTITUTIONALADV 03/21/2000 3:19PM CAPS NUM

FIG. 80

0 0 0 3 5 8 7 7 0 0 3 2 8 0 0

147567406

EXPRESS MAIL NO. \_\_\_\_\_

CARS - CrossMar Automated Reconciliation Services		- □ X	
<u>File</u> <u>Edit</u> <u>View</u> <u>Administration</u> <u>Setup</u> <u>Query</u> <u>Reports</u> <u>Window</u> <u>Help</u>		?	
<b>Aging Report</b>			
<input type="checkbox"/> Fund Manager <input checked="" type="checkbox"/> INSTITUTIONALADV ▼		<input type="checkbox"/> Service Type <input type="checkbox"/> POS    ▼	
<input type="checkbox"/> Custodian & Clients <input checked="" type="checkbox"/> Details		<input type="checkbox"/> Report	
<input type="checkbox"/> Custodian Bank List		<input type="checkbox"/> Close	
930    CITIBANK-Citibank		<input type="checkbox"/> ? Help	
<input checked="" type="checkbox"/> Unselect All <input type="checkbox"/> Get Clients			
<b>Client List</b>			
932    111AK    222AK    333AK    444AK    555AK			
<input checked="" type="checkbox"/> Select All			
934    Record Source <input type="checkbox"/> FundManager <input type="checkbox"/> Custodian <input checked="" type="checkbox"/> Both			
936    Report Action <input checked="" type="checkbox"/> View <input type="checkbox"/> Print <input type="checkbox"/> Save to file			
		Alan Schneider    INSTITUTIONALADV    03/21/2000    3:26PM    CAPS    NUM	

FIG. 80a

0 0 0 5 3 5 8 7 7 0 0 0 0 0



147567406  
EXPRESS MAIL NO.

## Position Aging Report

1 of 1+

50%

Total: 33 100% 33 of 33

1

## Position Aging Report

Bank record(s) are in grey background

ClientID : 111AK      Number of Days in Range : 51 - 55 Days

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卷之三

卷之三

Page 1 of 2

Page 1 of 2

FIG. 81

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7567406

EXPRESS MAIL NO.

Custodian Cash Report

1 of 1+

50%  Total: 15 100% 15 of 15

-   X

Custodian Cash Report - Detail Statement

Period Settlement Date : 21-Jan-2000

Client ID : 444AK-444AK

Client Custodian Company	Safekeeping Account	Primary Account
444AK CITIBANK USD 01-Jan-2000	4440	4440

Opening Balance

15,311,346.52

15,311,346.52

Closing Balance

12,923,947.89

12,923,947.89

27-Mar-2000 3:27:23PM

User: Alan Schneider

Page 1 of 2

FIG. 83